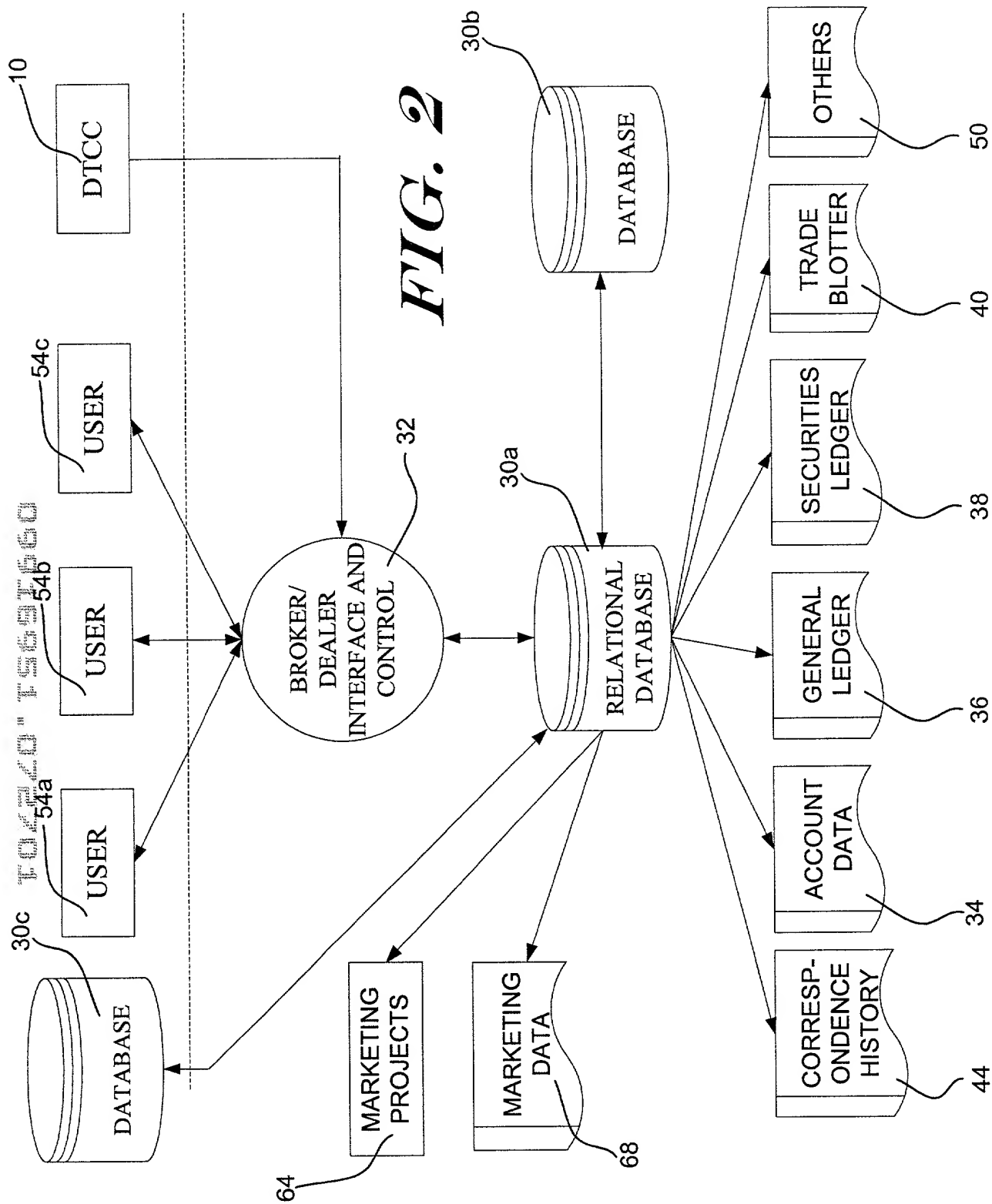


FIG. 1



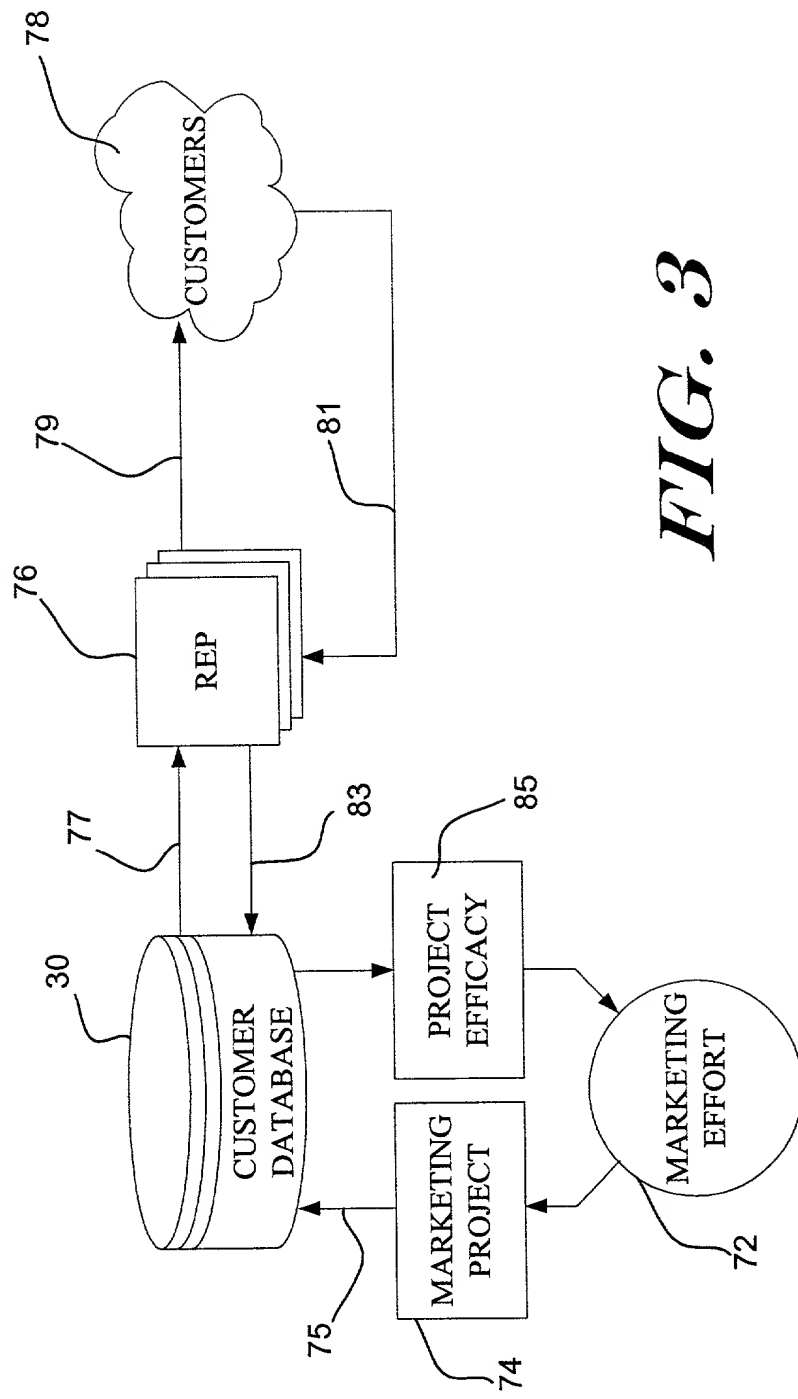


FIG. 3



100

FIG. 4

96

89

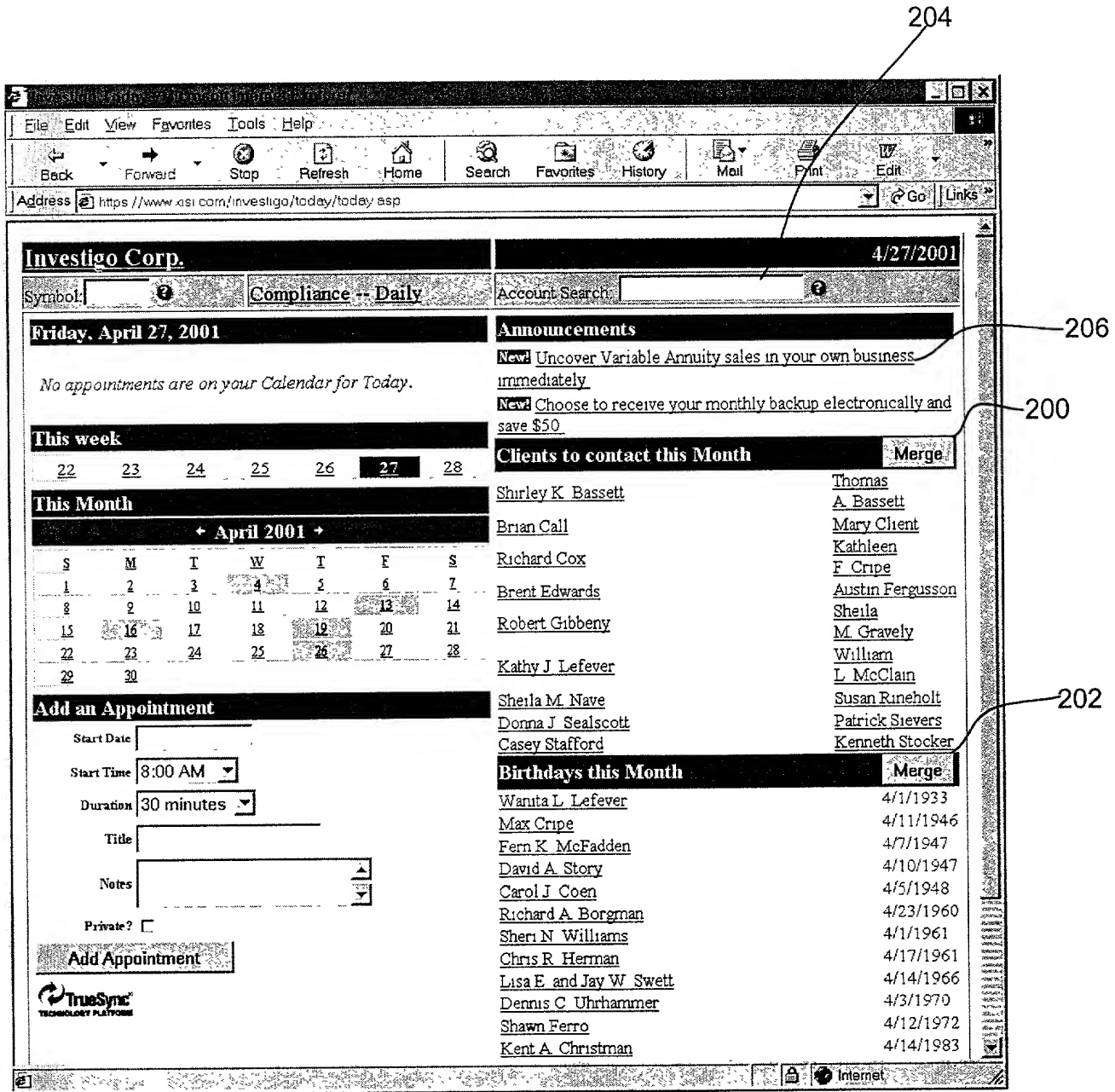


FIG. 5

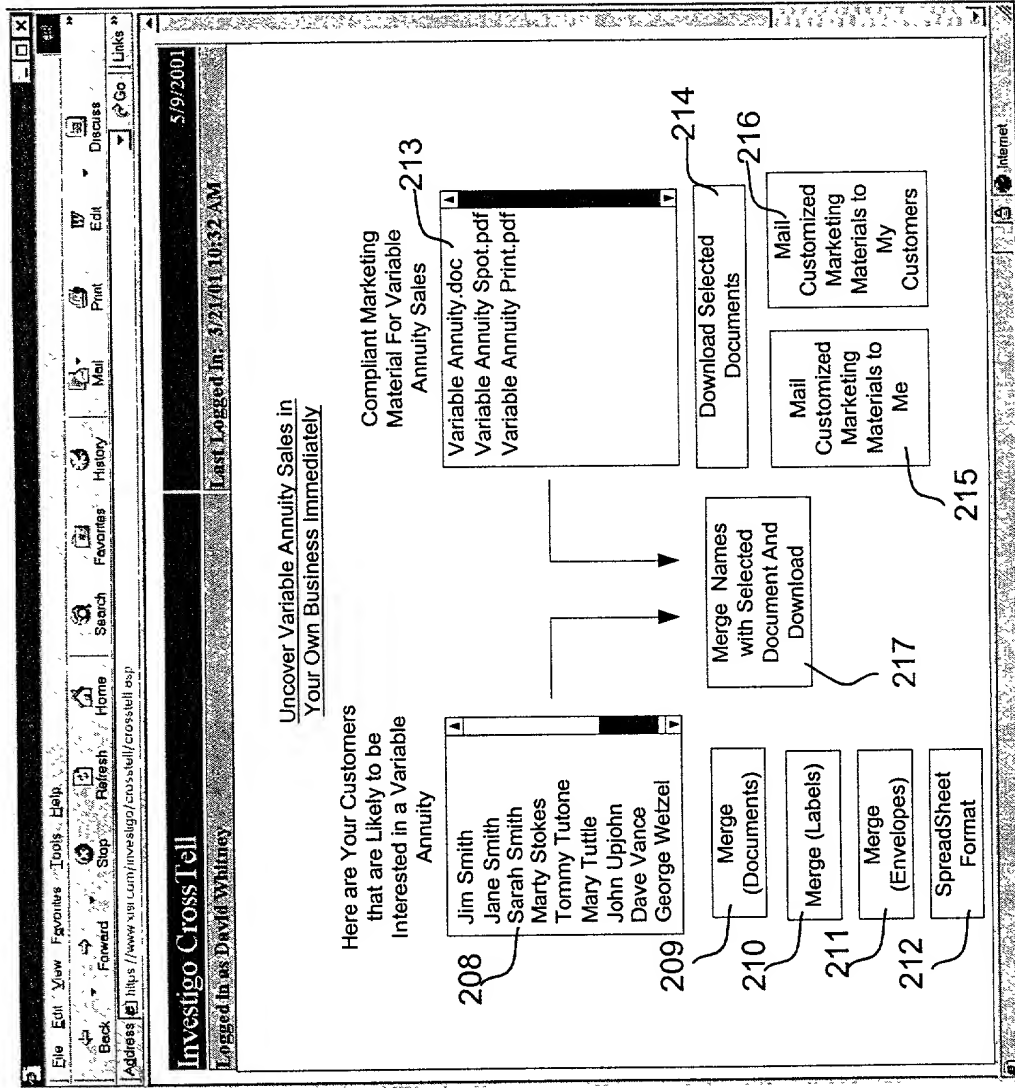


FIG. 6

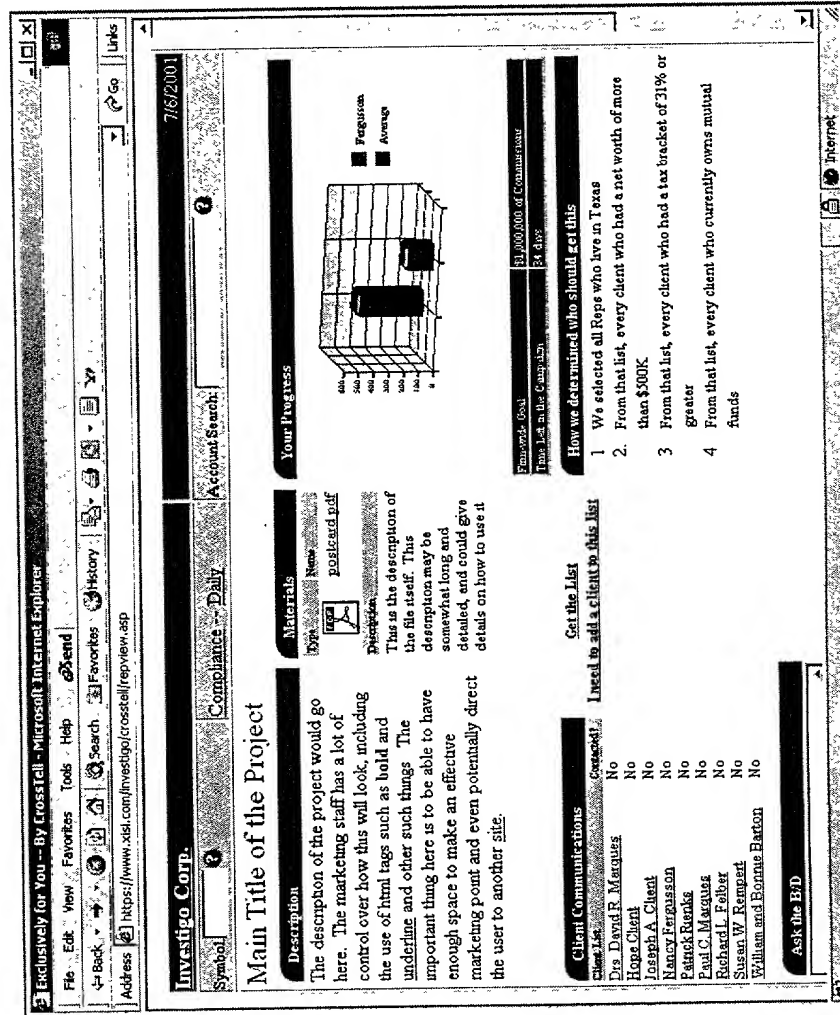


FIG. 7

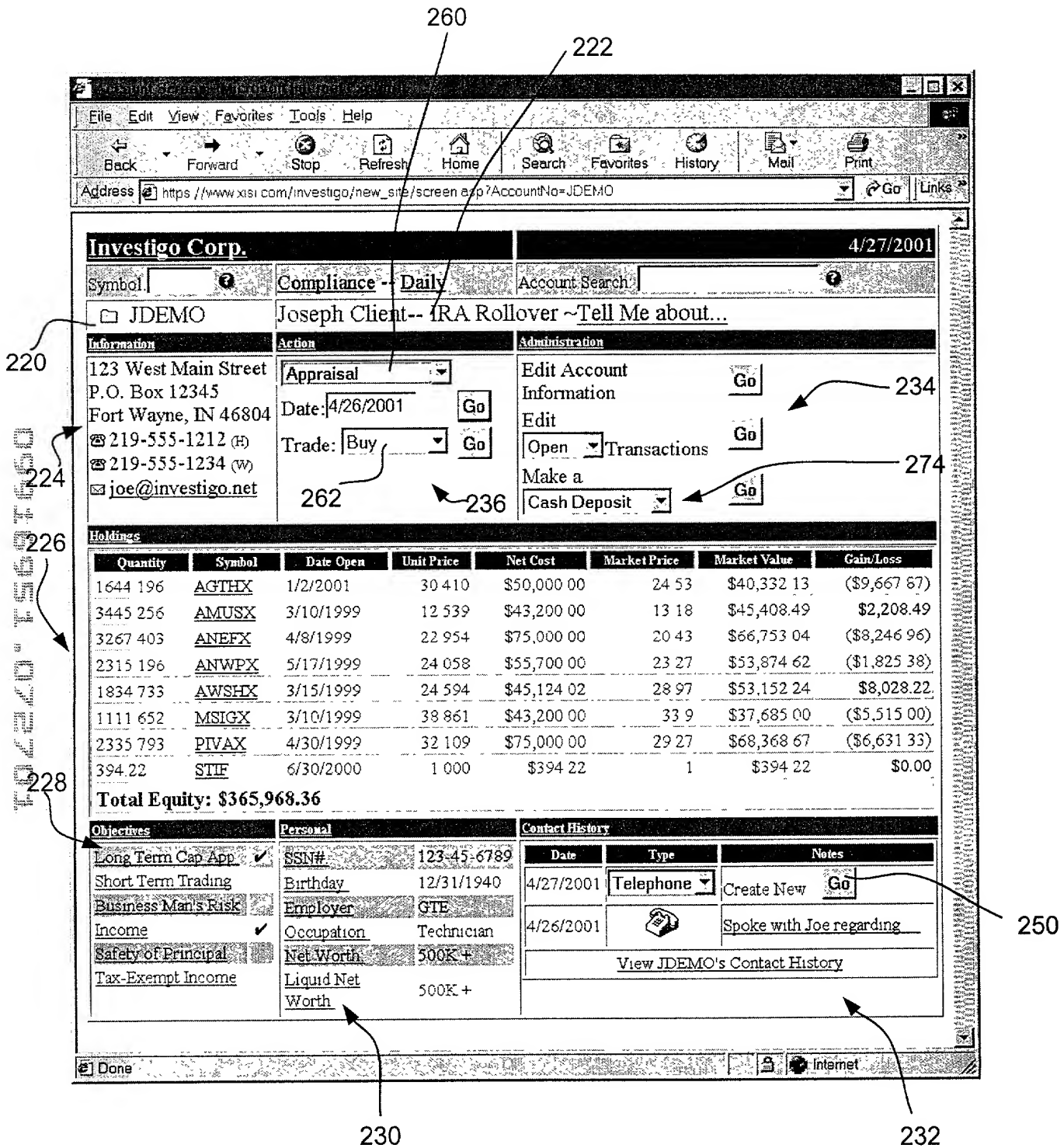


FIG. 8

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Print Edit Discuss

Address https://www.xsl.com/investigo/new_site/screen.asp?AccountNo=JDEMO Go Links

Investigo Corp. 4/27/2001

Symbol:

Compliance -- Daily

Account Search:

Information

123 West Main Street

P.O. Box 12345

Fort Wayne, IN 46804

219-555-1212 (H)

219-555-1234 (W)

joe@investigo.net

Appraisal

Date: 4/26/2001

Trade: Buy

Buy

Cover

Sell

Go

Go

Go

Go

Administration

Edit Account Information

Edit

Open Transactions

Make a

Stock Deposit

Go

Go

Go

Holdings

Quantity	Symbol	Date	Net Cost	Market Price	Market Value	Gain/Loss
1644 196	AGTHX	1/2/2001	\$50,000 00	24 53	\$40,332 13	(\$9,667 87)
3445 256	AMUSX	3/10/1999	\$43,200 00	13 18	\$45,408 49	\$2,208 49
3267 403	ANEFX	4/8/1999	\$75,000 00	20 43	\$66,753 04	(\$8,246 96)
2315 196	ANWPX	5/17/1999	\$55,700 00	23 27	\$53,874 62	(\$1,825 38)
1834 733	AWSHX	3/15/1999	\$45,124 02	28 97	\$53,152 24	\$8,028 22
1111 652	MSIGX	3/10/1999	\$43,200 00	33 9	\$37,685 00	(\$5,515 00)
2335 793	PIVAX	4/30/1999	\$75,000 00	29 27	\$68,368 67	(\$6,631 33)
394 22	STIF	6/30/2000	\$394 22	1	\$394 22	\$0 00

Total Equity: \$365,968.36

Objectives

Long Term Cap App. ☒

Short Term Trading

Business Mgmt Risk

Personal

SSN# 123 45 6789

Birthday 12/31/1940

Employer GTE

Date 4/27/2001

Type Telephone

Notes Create New Go

Contact History

Done

FIG. 9

262

File

Edit

View

Favorites

Tools

Help

Back

Forward

Stop

Refresh

Home

Search

Favorites

History

Mail

Print

Address

https://www.xisi.com/investigo/posting/orderdesk.asp?Action=Buy&E1=Go&reportdate=4%2F27%2F2001

Go

Links

Investigo Corp.

Symbol

Compliance

Daily Account Number or Name

4/27/2001

Trade Entry

Joseph Client-- IRA Rollover

JDEMO

Trade Date

4/27/2001

Trade Type

Buy

Amount

1000

Symbol or CUSIP

ibm

Price

33.00

Solicitation Status

Solicited

Commission

600

Location of Shares

Long

Fees and/or charges

65.00

Reinvest

None or N/A

Sales charge

0

- For Mutual Funds

Cost or Proceeds Override

- Overrides automatic calculation

Order Entered By

3br

Recommended to Client

Notes

Confirm This Trade

Done

Internet

270

FIG. 10

268

Investigo Corp.										
Trade Buy Blotter for All for dates 10/1/2000 to 10/31/2000										
Trade Date	Settle Date	Buy / Sell	Account Number	Product	Shares	S/UNS	Buy Price	Buy Cost	Buy Commission	
10/1/2000	10/4/2000	Buy	W632293452	CO17254-00	60327.84	Unsolicited	1	0	0	0
10/2/2000	10/5/2000	Buy	W632669420	ALAX	3603.604	Solicited	15.54	56000	0	0
10/2/2000	10/5/2000	Buy	W632669420	IGSX	1889.708	Solicited	13.02	22000	0	0
10/2/2000	10/5/2000	Buy	W632669420	RCVAX	1756.44	Solicited	17.09	30000	0	0
10/2/2000	10/5/2000	Buy	W632669420	LEIFX	0.194	Solicited	22.5	0	0	0
10/2/2000	10/5/2000	Buy	W632669420	RCVAX	51.317	Solicited	16.58	0	0	0
10/2/2000	10/5/2000	Buy	W632669420	RCVBX	11.881	Solicited	16.61	0	0	0
10/2/2000	10/5/2000	Buy	W632669420	RCVGX	7.324	Solicited	16.57	0	0	0
10/2/2000	10/5/2000	Buy	W632541066	BETM	300	Solicited	0.4375	187.6	50	0
10/2/2000	10/5/2000	Buy	W632498077	LEIBX	0.135	Solicited	22.5	0	0	0
10/2/2000	10/5/2000	Buy	W632467411	QQQ	70	Solicited	88.5	6333.44	132.09	0
10/2/2000	10/5/2000	Buy	W632448502	RCVGX	16.242	Solicited	16.57	0	0	0
10/2/2000	10/5/2000	Buy	W636047672	RCVGX	47.063	Solicited	16.57	0	0	0
10/2/2000	10/5/2000	Buy	W632367389	RCVBX	21.917	Solicited	16.61	0	0	0
10/2/2000	10/5/2000	Buy	W632669420	OPPAX	485.784	Solicited	69.99	34000	0	0
10/2/2000	10/5/2000	Buy	W632356946	LEIFX	1.342	Solicited	22.5	0	0	0
10/2/2000	10/5/2000	Buy	W632322475	RCVAX	40.796	Solicited	16.58	0	0	0
10/2/2000	10/5/2000	Buy	W632312666	AHIX	3.706	Solicited	12.97	0	0	0
10/2/2000	10/5/2000	Buy	W632306868	NABGX	13.93	Solicited	15.04	0	0	0
10/2/2000	10/5/2000	Buy	W632297412	LEIBX	0.292	Solicited	22.5	0	0	0
10/2/2000	10/5/2000	Buy	W632297347	LEIBX	0.576	Solicited	22.5	0	0	0
10/2/2000	10/5/2000	Buy	W632297107	KO	0.62	Solicited	54.937	34.22	0	0
10/2/2000	10/5/2000	Buy	W632295523	FDBBX	17.202	Solicited	8.79	0	0	0

FIG. 12

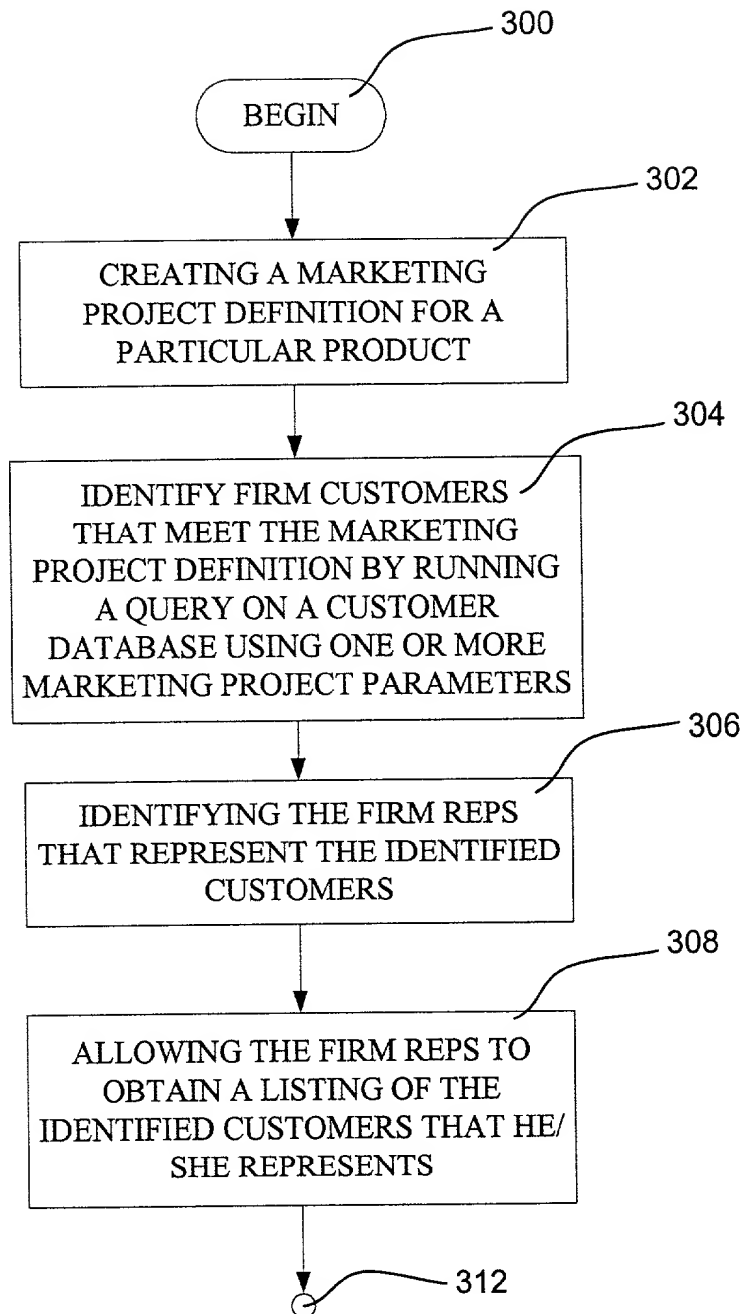


FIG. 13A

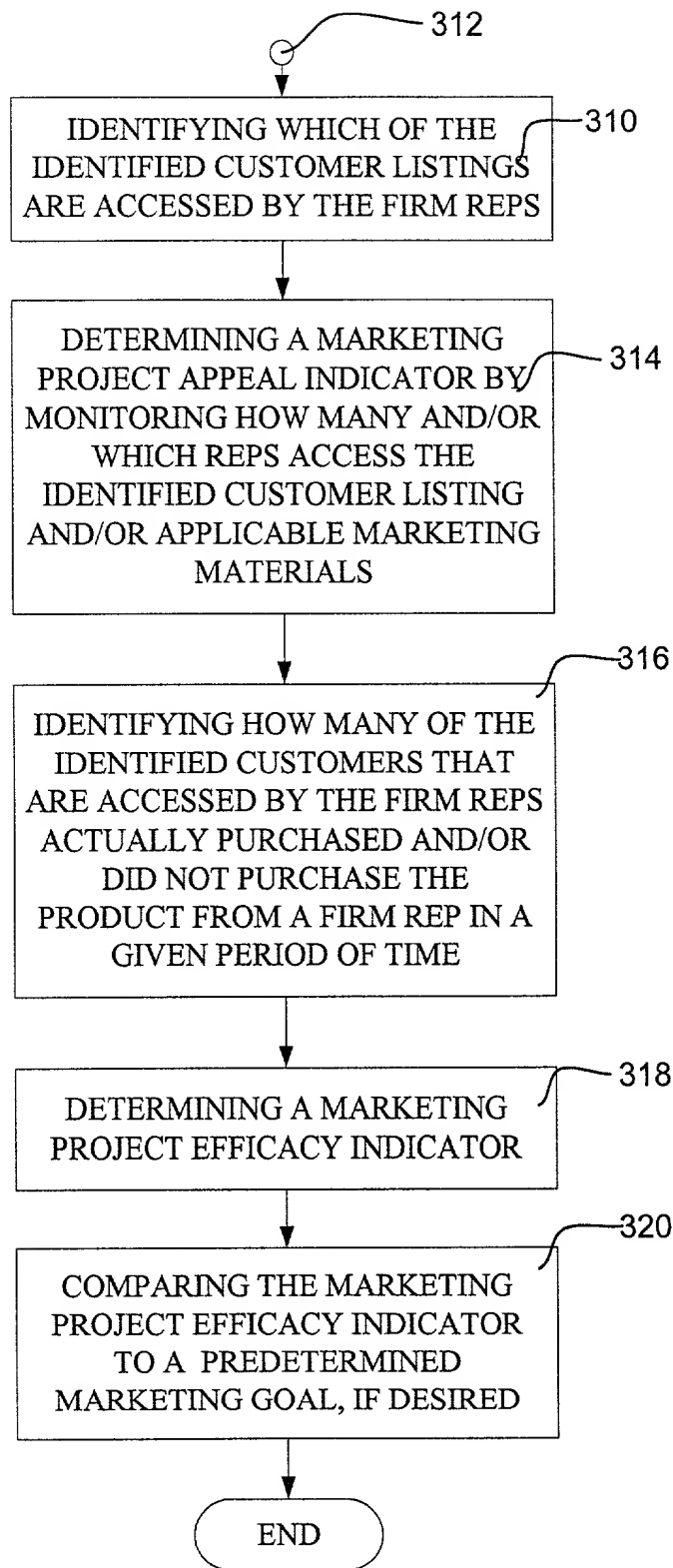


FIG. 13 B

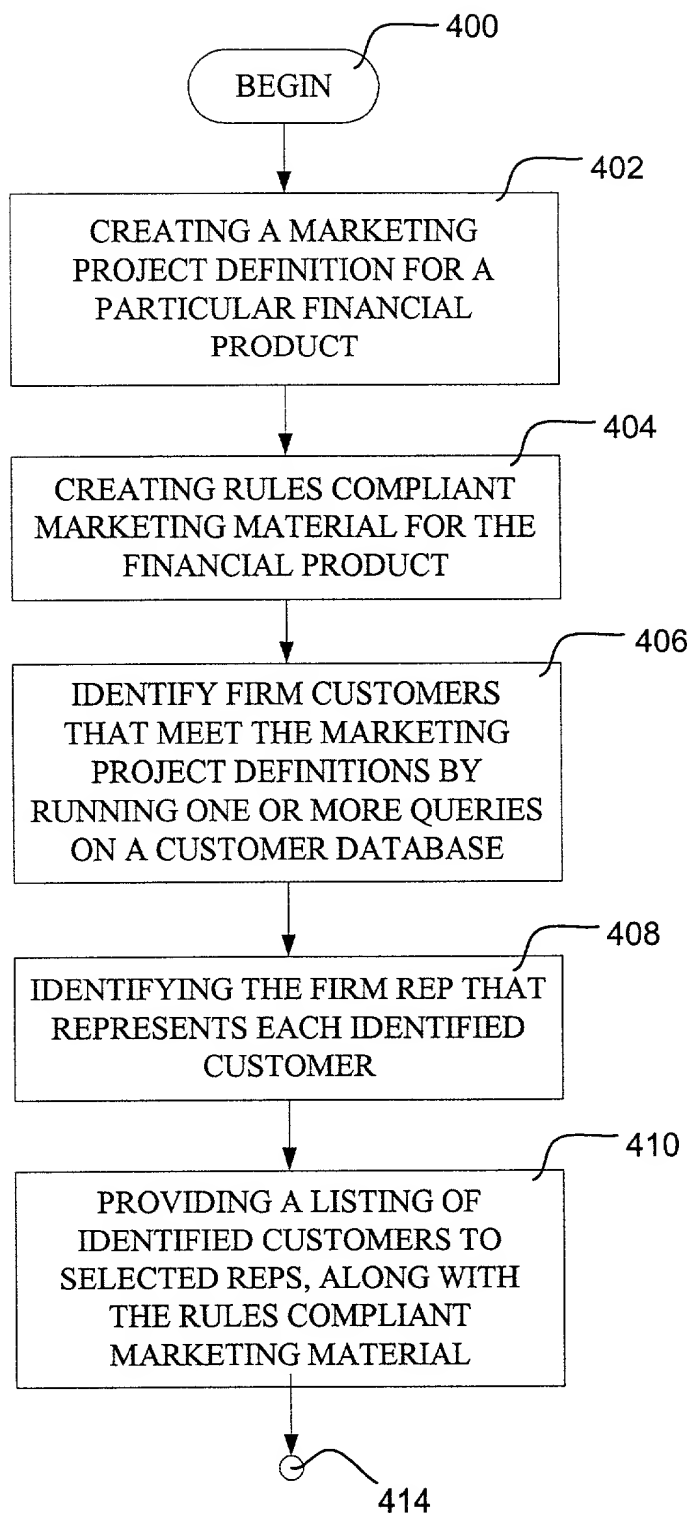


FIG. 14A

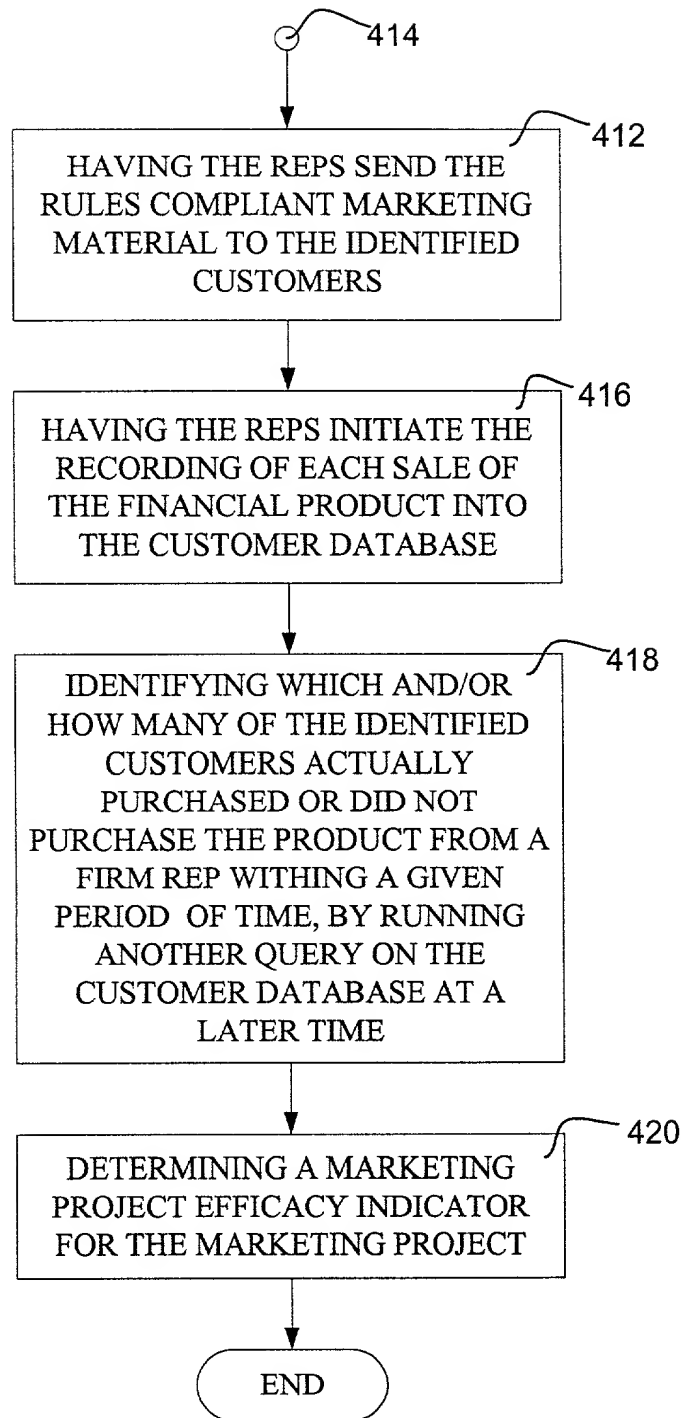


FIG. 14B